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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JCE79 U.S. PRO  
10/055178  
01/25/02

In re Patent Application of:	)	Docket No.: 20837-007-401
John C. HERMANSEN <i>et al.</i>	)	
Serial No.: ( <i>unassigned</i> )	)	Group Art Unit: ( <i>unknown</i> )
Filed: Herewith	)	Examiner: ( <i>unknown</i> )
For: SYSTEM AND METHOD FOR	)	
ADAPTIVE MULTI-CULTURAL	)	
SEARCHING AND MATCHING	)	
OF PERSONAL NAMES	)	

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants hereby make of record in the above-identified application the documents listed on the attached Form PTO-1449 (submitted in duplicate). The order of presentation of the references should not be construed as an indication of the importance of the references.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed within three months of the U.S. filing. No certification or fee is required. 37 C.F.R. 1.97(b).


The Examiner's attention is directed to co-pending U.S. Patent Application No. 09/275,766, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to this application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the above-identified application.

Documents A-W and Y were cited by or submitted to the Office in Application No. 09/275,766, filed March 25, 1999, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

Respectfully submitted,

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY  
and POPEO, P.C.

By   
Christopher J. Cuneo  
Registration No.: 42,450

11911 Freedom Drive, Suite 400  
Reston, Virginia 20190  
Telephone: (703) 464-4810  
Telefax: (703) 464-4895

Attorney for Applicants

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>				Docket Number		Application Number	
				20837-007-401		(unassigned)	
				Applicant(s)			
				John C. HERMANSEN et al.			
				Filing Date		Group Art Unit	
Form PTO-1449				Herewith		(unknown)	

JC879 U.S. PTO  
 10/055178  
 01/25/02

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5,040,218	08/13/1991	Vitale <i>et al.</i>	381	52	
	B	5,062,143	10/29/1991	Schmitt	382	36	
	C	5,212,730	05/18/1993	Wheatley <i>et al.</i>	381	43	
	D	5,323,316	06/21/1994	Kadashevich <i>et al.</i>	364	419.01	
	E	5,337,232	08/09/1994	Sakai <i>et al.</i>	364	419.08	
	F	5,369,726	11/29/1994	Kroeker <i>et al.</i>	395	2.45	
	G	5,369,727	11/29/1994	Nomura <i>et al.</i>	395	2.61	
	H	5,371,676	12/06/1994	Heemels <i>et al.</i>	364	419.11	
	I	5,375,176	12/20/1994	Spitz	382	39	
	J	5,377,280	12/27/1994	Nakayama	382	17	
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	L	Des. 359,480	06/20/1995	Levine	D14	115	
	M	5,432,948	07/11/1995	Davis <i>et al.</i>	395	800	
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	O	5,440,663	08/08/1995	Moese <i>et al.</i>	395	264	
	P	5,457,770	10/10/1995	Miyazawa	395	2.64	
	Q	5,485,373	01/16/1996	Davis <i>et al.</i>	364	419.13	
	R	5,490,061	02/06/1996	Tolin <i>et al.</i>	364	419.02	
	S	5,515,475	05/07/1996	Gupta <i>et al.</i>	395	2.51	
	T	5,526,463	06/11/1996	Gillick <i>et al.</i>	395	2.6	
	U	5,548,507	08/20/1996	Martino <i>et al.</i>	364	419.01	
	V	5,724,481	03/03/1998	Garberg <i>et al.</i>	395	2.52	
	W	5,758,314	05/26/1998	McKenna	704	8	

FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translations YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
X	HERMANSEN, John Christian, "Automatic Name Searching in Large Data Bases of International Names," a Doctoral Dissertation, Georgetown University, University Microfilms International, 1985.
Y	Oshika, B.T., <i>et al.</i> , "Improved Retrieval of Foreign Names from Large Databases", <u>IEEE</u> , February 1-5, 1988, pages 480-487.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.